

FINAL REPORTS ISSUED **07 November 2015 to 29 January 2016**

ref	Audit 2015/16	2015/16 potential days	IA Risk assessment 2015/16	Final Report Issued	Days Taken	Recommendations Made					Audit Opinion
						No.	Risk Level				
						total	4	3	2	1	
01 KF	Budgets	5	2	11/01/16	4	0	0	0	0	0	substantial
04 KF	Council Tax	3	3	03/12/15	3	0	0	0	0	0	substantial
10 KF	Main Accounting Systems	3	3	16/12/15	3	0	0	0	0	0	substantial
11 KF	NNDR	3	3	19/11/15	2	1	0	0	1	0	substantial
18 O	Communication	15	3	21/12/15	17	2	0	0	2	0	substantial
26 O	Housing Repairs Service	15	4	23/11/15	15	3	0	1	2	0	adequate
29 O	Housing Stores	15	4	20/11/15	15	5	0	0	5	0	adequate
						11	0	1	10	0	
						total	4	3	2	1	

Internal Audit Programme 2015/16 - Reviewed October 2015										
ref	Audit	2015/16 potential days	qtr	IA Risk 2015/16	Started	Draft	Final	Days Taken	Status	Comment
01 KF	Budgets	5	2	2	23/11/15	11/01/16	11/01/16	4	final	
02 KF	Cash & Bank	10	1	3	23/06/15	23/11/15		10	draft	
03 KF	Contracts & Procurement	10	2	4	06/08/15			13	planning	
04 KF	Council Tax	3	3	3	15/10/15	03/12/15	03/12/15	3	final	
05 KF	Creditors	5	4	3	23/11/15			1	planning	
06 KF	Fraud (non-corporate)	0	3	3	carried forward to 2016/17					
07 KF	Housing Benefits and LCTS	10	4	3				0		
08 KF	Housing Rents	10	4	3				0		
09 KF	Income, Fees & Charges	5	2	2	07/07/15	21/07/15	26/08/15	6	final	
10 KF	Main Accounting Systems	3	4	3	23/11/15	16/12/15	16/12/15	3	final	
11 KF	NNDR	3	3	3	15/10/15	19/11/15	19/11/15	2	final	
12 KF	Payroll	10	2	3	15/10/15			8	testing	
13 KF	Recovery	0	4	3	carried forward to 2016/17			0		
14 KF	Taxation	2	3	2	15/10/15			1	planning	
15 KF	Asset Management	p		1				0		
16 KF	Treasury Management	0		1	carried forward to 2016/17			0		
17 O	Business Continuity	0	3	3	carried forward to 2016/17			1	planning	
18 O	Communication	15	2	3	10/07/15	17	21/12/15	17	final	
19 O	Community Health & Fitness	10	1	2	01/05/15	8	20/07/15	8	final	
20 O	Corporate Governance & AGS	10	1	3	19/05/15	4	24/09/15	4	final	
21 O	Elections	0	4	3	carried forward to 2016/17			0		
22 O	Electoral Registration	0	4	3	carried forward to 2016/17			0		
23 O	Env Health - Imported Food Controls	12	1	3	27/04/15	14	09/07/15	14	final	
24 O	Homelessness	10	3	3	02/12/15	0		0	planning	
25 O	Housing Allocations	10	3	3	02/12/15	1		1	planning	
26 O	Housing Repairs Service	15	2	4	24/07/15	15	23/11/15	15	final	
27 O	Housing Right to Buy	10	1	2	02/06/15	11	16/09/15	11	final	
28 O	Housing Stock & Voids	12	1	3	14/04/15	11	16/09/15	11	final	

29 O	Housing Stores	15	2	4	27/07/15	15	20/11/15	15	final	
30 O	ICT	10	3	3	02/12/15	0		0	planning	
31 O	Information Management & Security	15	1	3	06/04/15	18	14/08/15	18	final	
32 O	Members' Allowances & Expenses	0	4	3	carried forward to 2016/17			0		
33 O	Partnerships	0	4	3	carried forward to 2016/17			0		
34 O	Section 106 Obligations	10	1	2	17/06/15	9	20/08/15	9	final	
35 O	Street Services - Fleet & Fuel Mgt	30	2	4	20/07/15	32		32	testing	
36 O	Street Services - Trade Waste	10	3	3	02/12/15	8		8	testing	
37 O	Risk Management	10	1	2	17/06/15	10	06/08/15	10	final	
38 O	Performance Management	p	4	2		0		0		
39 O	Equality & Diversity	0	3	4	carried forward to 2016/17			0		
40 O	Car Parking Partnership (NEPP)	10	3	3	23/11/15	9		9	testing	
41 O	Enforcement	p		2		0		0		
42 O	Facilities Management	p		2		0		0		
43 O	Grants & External Funding received	p		3		0		0		
44 O	HR	p		3		0		0		
45 O	Insurance	p		3		0		0		
46 O	Licensing	10		3	21/12/15	6		6	planning	
47 O	Museum	p		3		0		0		
TOTAL AUDIT DAYS		300	Potential days					240	Days taken to date	